

FORM

COPY OF PAPERS ORIGINALLY FILED

11 ...

Receipt

PTO/SB/21 (08-00) Approved for use through 10/31/02. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Eric K. ENGELHARD

1642

TRANSMITTAL

Application Number

| Transmitter | Transmitt

First Named Inventor

Group Art Unit

(to be used for all correspondence after initial filing)		Examiner Name	To Be Assigned		
Total Number Of Pages In This Submission		5	Attorney Docket No.	529452000125	
ENCLOSURES (check all that apply)					
Fee Transmittal Form		Assignment Papers (for an Application)			After Allowance Communication to Group
Fee Attached		Drawing(s)			Appeal Communication to Board of Appeals and Interferences
Amendment / Reply		Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final		Petition			Proprietary Information
Affidavits/declarations		Petition to Convert to a Provisional Application			Status Letter
Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53		Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks			Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt (2 pages) Marked-up Copy of Filing Receipt (2 pages) Return Receipt Postcard
SIGNATURE OF APPLICANT, ATTORNEY OR AGENT					
Firm	Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304-1018				
or Individual Name Shantanu Basu, Reg		3 No 43,318			
Signature Resulta		whan			
Date May 22, 2002					

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May 22 2002.

Martina Placid

Burden Hours Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Box Patent Application, Washington, DC 20231



COPY OF PAPERS ORIGINALLY FILED

PATENT Docket No. 529452000125

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

Thereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231, on May 22, 2002.

Millia teria. Place

Martina Placid

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Eric K. ENGELHARD and David W. MORRIS

Serial No.:

10/004,113

Filing Date:

October 23, 2001

For:

NOVEL COMPOSITIONS AND

METHODS FOR CANCER

Examiner: To Be Assigned

Group Art Unit: 1642

REQUEST FOR A CORRECTED FILING RECEIPT

Office of Initial Patent Examination Customer Service Center Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are as follows:

Attorney Docket No.

Delete "A-70970/RMS/DCF" and insert therefor --529452000125--

Applicant(s)

Delete "Eric Engelhard, residence not provided" and "David Morris, residence not provided" and insert therefor:

-- Eric K. Engelhard, Davis California

David W. Morris, Davis, California--

Domestic Priority data as claimed by applicant

After "This Application is a" delete "CON" and insert therefor: --CIP--.

After "And a" delete "CON" and insert therefor: --CIP--.

A copy of the marked-up Filing Receipt is attached.

In the unlikely event that the transmittal letter is separated from this request and the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit**Account No. 03-1952 (referencing Docket No. 529452000125). However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: May 22, 2002

By:

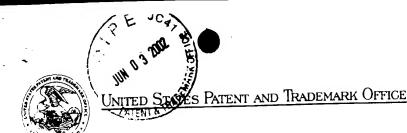
Shantanu Basu

Registration No. 43,318

Morrison & Foerster LLP 755 Page Mill Road

Palo Alto, California 94304-1018

Telephone: (650) 813-5995 Facsimile: (650) 494-0792



COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 2023

www.uspto.gov

APPLICATION NUMBER

FILING DATE

GRP ART UNIT FIL FEE REC'D ATTY DOCKET NO

DRAWINGS TOT CLAIMS

IND CLAIMS

10/004,113

10/23/2001

1642

0.00

70970/RMS/DCF -

19

15

529452000125

CONFIRMATION NO. 3901

FILING RECEIPT

OC00000007283953

FLEHR HOHBACH TEST ALBRITTON & HERBERT LLP **Suite 3400** Four Embarcadero Center San Francisco, CA 94111-4187

> COPY OF PAPERS ORIGINALLY FILED

Date Mailed: 01/09/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eric Engelhard, Residence Not Provided: Eric K. Engelhard, Davis, California
David Morris, Residence Not Provided: David W. Morris, Davis, California

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A ČÓN OF 09/747,377 12/22/2000 AND A-CON-OF 09/798,586 03/02/2001

Foreign Applications

If Required, Foreign Filing License Granted 01/08/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Novel compositions and methods for cancer

Preliminary Class

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED. FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).